

# Summary of Industry-Only Third Global Dossier Task Force Meeting

USPTO Headquarters  
Global Intellectual Property Academy (GIPA)  
Alexandria, VA  
Held February 3, 2016 8:00 – 13:30



**AIPLA**  
American Intellectual  
Property Law Association



**Intellectual<sup>®</sup>  
Property  
Owners  
Association**

# Progress on short-term goals

## 1. Proof of Concept (AIPLA and IPO/USPTO)

- ❑ *Three-Part Common Request Form – a possible basis for a pilot*
- ❑ *During pilot program, will need to seek input from all applicants.*

# Progress on short-term goals

## 2. Alert Functionality (Business Europe/EPO)

- ❑ *There is agreement in support of the Business Europe recommendations.*
- ❑ *Request: Do not make it easier than it is today to make request for alerting more discoverable (more public) than they are today.*

# Progress on short-term goals

## 3. XML Document Provision (JIPA/JPO)

- Integrating AIPLA/IPO proposal on use of Common Form with JIPA proposal on XML: Incorporate XML tags into the Common Form, to enable automatic translation in the native language of the IP5 Receiving Office of, and population of, information on the Common Form.*
- Agree to proposal of JIPA's slide 6 and slide 9 prioritization.*

# Progress on short-term goals

## 4. Standardized Applicant Name (KINPA/KIPO)

- ❑ *Industry requests that the Patent Office consult with the Applicant before changing the applicant's name in an application or patent for standardized applicant name.*
- ❑ *Industry requests that the Patent Offices not assign Applicant registration numbers without consulting with the Applicant, as there are legal and tax implications.*

# Progress on short-term goals

## 5. Legal Status (PPAC/SIPO)

- ❑ Request: please identify and clearly define scope and information content of each legal status category.
- ❑ Request: Consult with Users to arrange and prioritize [legal status] categories
- ❑ Industry supports timeline provided on PPAC's Next Steps slide.

# A. Barriers to Implementation and Increased Functionality

## Active Component with Common Form Proposal:

- Proposal is based upon the assumption that the Global Dossier route to PPH is available to the Public. In July, 2016, this will be publicly available in Japan.
- Pre-publication information need to be addressed in short- and long-term, so all documents may not be available to the Offices.
  - *Possible solution: pilot restricted to published applications*
  - *Applicants can opt in*

# A. Barriers to Implementation and Increased Functionality (cont'd)

## XML Document Provision

- Security and IT systems in general.

## Legal Status:

- making the legal status information available to satisfy the diverse needs of the User groups.



## B. Patent Office Concerns

### Active Component with Common Form Proposal:

- Will the Offices willing to participate in the pilot, as some portions of the proposal may place burden on the Offices and examiners?

## C. Patent Practitioners Concern

### Active Component with Common Form Proposal:

- Whether the Applicant can file Common Form directly or through, for example, a lock box, so that it is accessed by the local attorney, to meet the Patent Office filing requirements?

## D. Corporate Interests

- Please include enough participants in the pilot for the Active Component with Common Form Proposal to have robust testing.

# E. How to Move Forward

## Active Component with Common Form Proposal:

- Individual Office to respond to the proposed Common Form, Offices to collaborate in defining Common Form, find partner Patent Offices to start pilot, find Applicants to participate in the pilot.

## Alert and Functionality:

- EPO share learnings/experience with the other Offices on information provided in alerting requests.

## E. How to Move Forward (cont'd)

### XML Provision:

- IP5 Offices and WIPO share learnings/experience with the other Offices and industries on XML implementation including data provision and data security.
- Integrate XML tags into Common Form

### Applicant Name Standardization:

- IP5 Offices communicate with applicants (Industries) and consider their inputs regarding the applicant name standardization.

## E. How to Move Forward (cont'd)

### Generally:

No need for lock-step participation; an IP5 Office should implement with whichever other Offices is available and willing; the other Offices should endeavor to join as soon possible.

Thank You!!

