



Europäisches  
Patentamt  
European  
Patent Office  
Office européen  
des brevets

# Alerting Priority

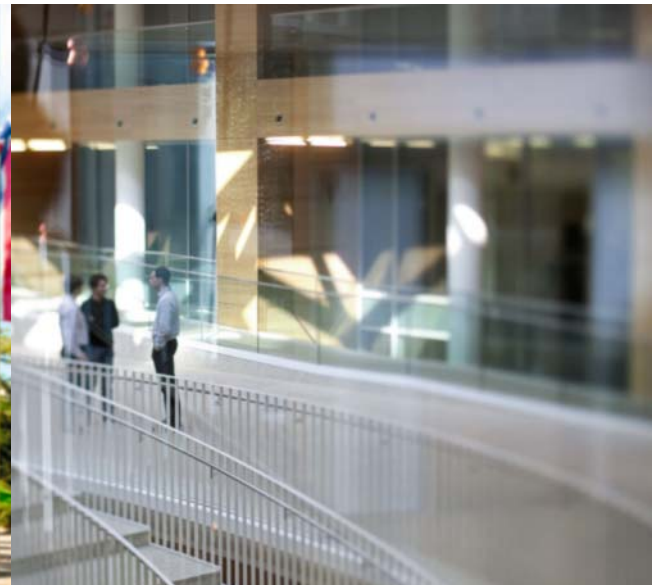
Results of EPO survey of IP5 offices and Industries



Kevin Douglas



Principal Director Information Management



February 2016

# Background

January 2015 –

GDTF agrees that alerting is one of the **5 priorities**

May 2015 –

IP5 Heads define **GD vision**, Alerting is included:

*“Provide timely updates to users on status or file wrapper changes to all IP5 family members of an application being watched”*

# Questionnaire

Questionnaire sent to offices and industry had 2 purposes:

1. To **benchmark** the current status of alerting at the IP5 offices. (what, how, when)
2. To determine what industry could concretely have in terms of **requirements**

## Results – Office capabilities

	<b>User Groups</b>	<b>Coverage</b>	<b>Deadline warnings</b>	<b>Channel</b>
EPO	Public Appl./Rep.	Legal events File wrapper changes	no	email, RSS
JPO	JPO provides primary information to commercial vendors who themselves provide alerting services			
KIPO	Appl. /Rep.	Legal events File wrapper changes	yes	SMS, email, web service
SIPO	Public (registered)	Legal events (planned) Fee related events some formal events	no	email
USPTO	Public Appl./Rep	Office communications Potential support of cross filing	no	email

## Results – Industry key feedback

	<b>Monitoring</b>	<b>Coverage</b>	<b>Main requirements</b>	<b>Preferred Channel</b>
Europe	3 <sup>rd</sup> parties own applications 10,000 appl. tracked	all legal/formal events	collated alerting filtering/tailoring links to documents	email PMS integ.
Japan	primary concern voiced by industry are any potential adverse legal consequences of monitoring		security no legal risk	email PMS integ.
Korea	own applications 3 <sup>rd</sup> parties	office actions 3 <sup>rd</sup> party events	deadline warnings not too many emails collated alerting	email PMS integ.
China	own applications 3 <sup>rd</sup> parties 1000 appl. tracked	legal events summons office actions	timeliness collated alerting	email
USA	No information provided as yet			

# Possible trends Identified

## Office Systems

- Currently a **wide variation** in systems formats and offerings
- **Email** a common mode for alerting users

## User Input

- Both **PMS interfaces** and **Email** considered useful channels for alerts
- Alerts should be **consolidated** (families/events) to limit the number of messages
- Information about **3<sup>rd</sup> parties** just as valued as own applications

## Possible Next Steps

Potential synergies with other priorities (cross filing)?

Determine which offices are interested in moving forward together

Agree a consensus requirements set with industry and first mover offices

## EPO's Alerting tool – Register Alert

Registered users can track **1000** files per account

Lists of applications can be **imported and exported**

Multiple **email** addresses can be assigned

Notifications can be **collated** into a single customised email

Actions which trigger an alert can be **customised**

The tool has about **60,000 users** per month with **10,000 applications** added per month.

In addition about **500,000 RSS feeds** are provided per month



## Preferences

Table Settings

Notification

Monitoring Profiles

Grouping and Title

Import and Export

Choose a profile to define the events you would like to be informed about.

Profile:

Main events (Substantial procedural actions) ▼

### List of events

These comprise those actions which have a substantial influence on the status of the European patent application or the European patent insofar as the EPO is competent.

- A-publication
- Separate publication of search report
- Grant of patent (B1 publication)
- Patent maintained in amended form (B2 publication)
- Limitation of patent (B3 publication)
- Withdrawal by applicant
- Application deemed to be withdrawn
- Refusal of application
- Intention to grant a European patent
- No notice of opposition filed within time limit
- Filing of notice of opposition
- Rejection of opposition
- Revocation of patent in opposition proceedings
- Revocation of patent by the patent proprietor
- Closure of opposition proceedings
- Renewal fee paid
- Lapse of patent in a contracting state
- Licence



Selection of actions to trigger event possible

Check all

Check none

## Preferences

Table Settings

Notification

Monitoring Profiles

Grouping and Title

Import and Export

Choose how e-mails are grouped:

- Group all notifications into one e-mail
- Group notifications for the same application into one e-mail

Grouping of alerts possible

Choose the format of the e-mail subject line:

The subject line is defined using a template, which is a mixture of text and values that are substituted when the e-mail is generated.

- Use a predefined template
- Use my own customised template

Create your template in the entry field below. You can mix text and substitution values. Substitution values can be typed in or inserted at the cursor position from the list on the right.

Your template should not contain substitution values that do not appear in this list, as they will not be translated. The available values are determined by your choice of how e-mails are grouped.

- [applicant]
- [appNumber]
- [clientName]
- [currentDate]
- [ipcClasses]
- [eventDate]
- [number]
- [reference]

Customisation of email subject possible

[applicant][ipcClasses][eventDate][reference]

The selected format will produce a subject line like this:  
Acme GmbHF02D41/34, F02D41/0619/04/2006ref/xyz123

# RSS feed of file wrapper

Feeds of file wrappers can be monitored in a feed reader

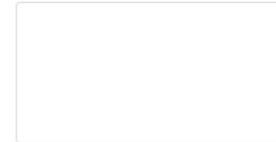
## All The Documents For The Application EP07798766



No unread articles — 1 readers

No unread articles. Refresh.

FEB 05 2013



### Closing of application

Closing of application  
1,086d

OCT 24 2012

### Application deemed to be withdrawn ( translations of claims/payment missing from 01-04-2012)

Application deemed to be withdrawn ( translations of claims/payment missing from 01-04-2012)  
1,190d

MAY 07 2012

### Bibliographic data of the European patent application

Bibliographic data of the European patent application  
1,360d

### Intention to grant (signatures)

Intention to grant (signatures)  
1,360d

### Text intended for grant

Text intended for grant  
1,360d

### Communication about intention to grant a European patent

Communication about intention to grant a European patent  
1,360d

AUG 16 2011

### (Electronic) Receipt

(Electronic) Receipt  
1 625d

Thank you