



Legal Status

Meeting of IP5 Heads of Offices and IP5 Industries
Tokyo, June 2016

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Background

Global Dossier Five Priorities



IP5 Heads Meeting, May 2015:

Visions of the five priorities were endorsed.



Vision of Legal Status: sharing of legal status data for patents and applications across the IP5



10th WG2 Meeting, Feb 2016:

IP5 offices agreed to provide feedback by the end of April on the Legal Status Questionnaire to be sent by SIPO at the end of March.

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Key Feedback from 5 Offices

on Legal Status Questionnaire sent by SIPO at the end of March 2016

| | EPO | JPO | KIPO | SIPO | USPTO |
|--|--|--|------------------------|--|-----------------------------|
| Format | XML PDF | XML SGML | XML | XML | No Bulk Data |
| User Group | Public Appl./Rep. | Public Appl./Rep. | Public Appl./Rep. | Public Appl./Rep. | Public Appl./Rep. |
| Channel | European Patent Bulletin European Patent Register | J-PlatPat, JPO Standardized data | KIPRIS ^{Plus} | Patent Search and Analysis of SIPO | Public PAIR Private PAIR |
| Coverage | Whole Life Span | Whole Life Span | Whole Life Span | Whole Life Span | Whole Life Span |
| Preferred Exchange Approach (Bulk, Web Service, Both) | Web Service | Undecided | Both | Both | Web Service |
| Mapping to Common Categories | Mostly | Mostly | Mostly | Mostly | Mostly |

Key Feedback from Industry

on Legal Status Questionnaire sent by SIPO at the end of March 2016

| | Europe | Japan | Korea | China | USA |
|---|---|-------------------------------------|---|---|--|
| Checking | 3rd parties, own applications | 3rd parties, own applications | 3rd parties, own applications | 3rd parties, own applications | 3rd parties, own applications |
| Coverage | All Legal Events | Only Core/Important Events | All Legal Events | All Legal Events | All Legal Events |
| Data Supply (Bulk data, Real-time data, Both) | Both | Both | Both | Both | Both |
| Suggestion for Improvement | faster update, clear info, complete/full info | show whether a case can be revived. | no need to harmonize all of the legal status definition | faster update, accuracy, search and download function | real-time and up-to-date info, ownership info, English-language option |

Content

Background

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Survey Result Report

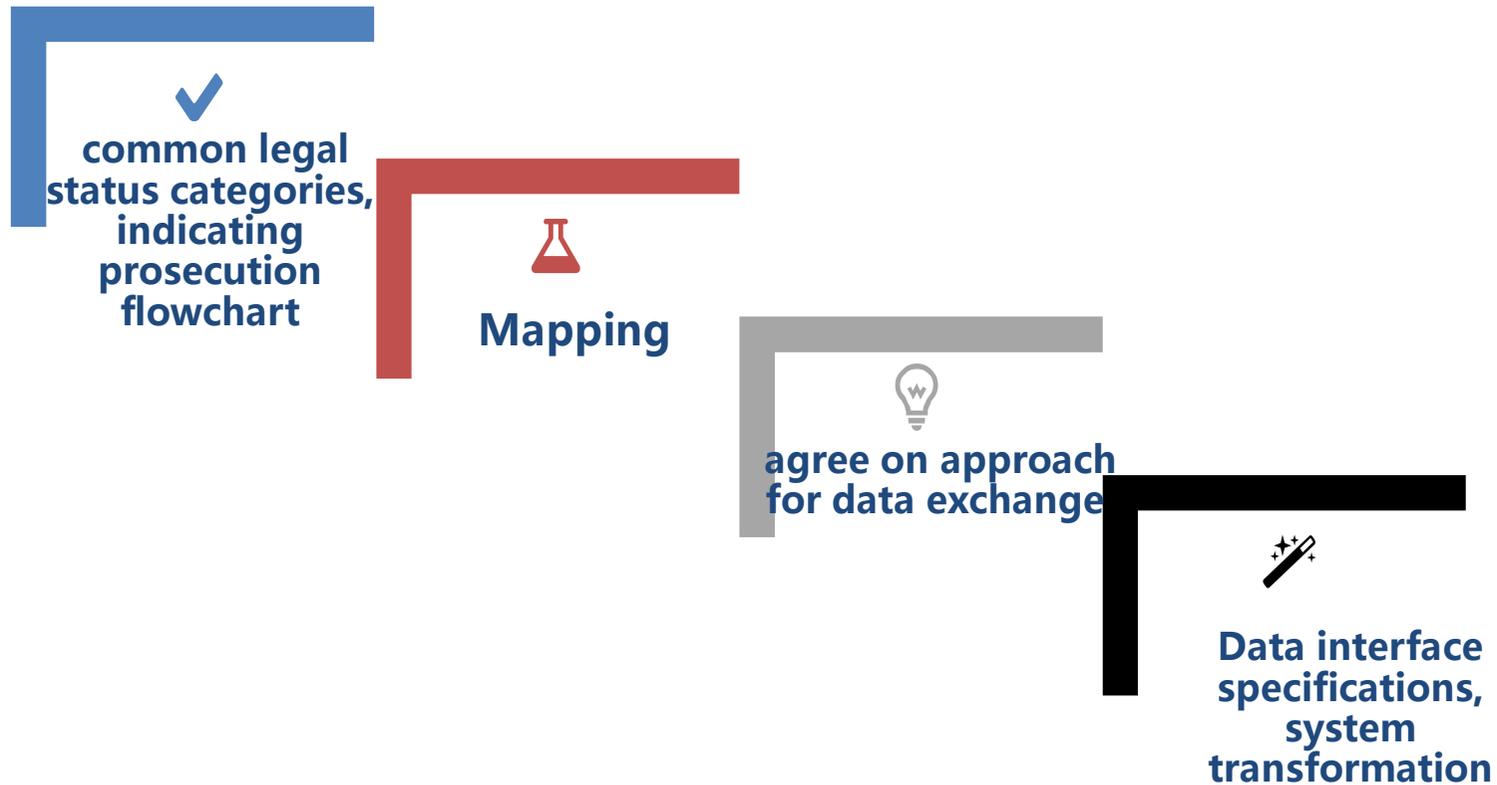
02

> **Scope Document**

03

Inscope Activities

Possibly to be Finished by 2020



Activity One

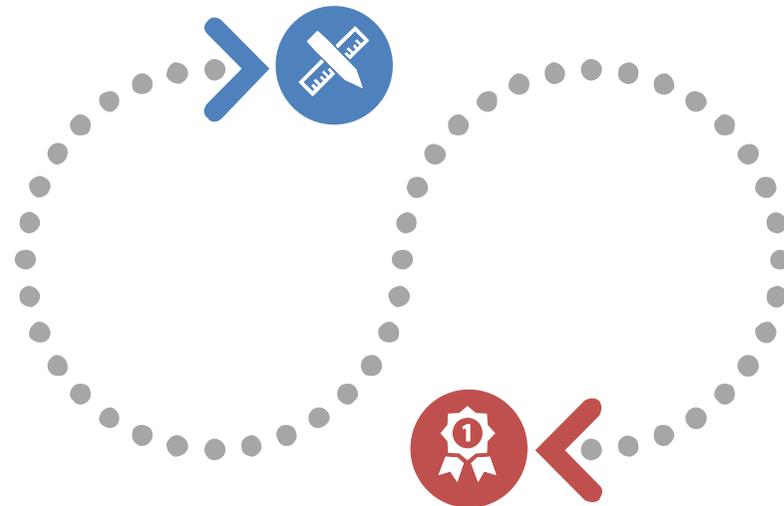
Common legal status categories, Indicating prosecution flowchart

WHY?

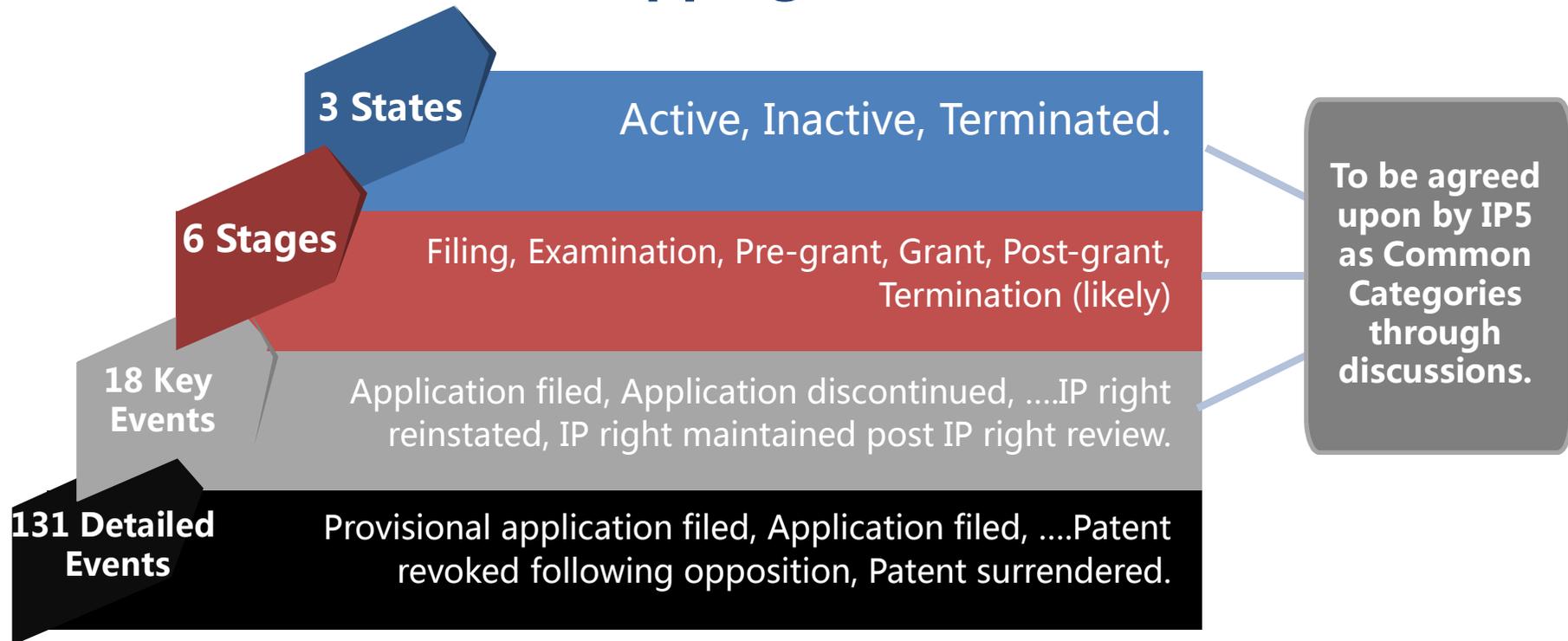
- Large number of detailed legal events at each office;
- Different terms in IP5 offices for the same legal event;
- > difficulty in understanding

HOW?

IP5 agreed on using WIPO's Product for Reference : Four-dimensional mapping table & Overall Patent Prosecution Model

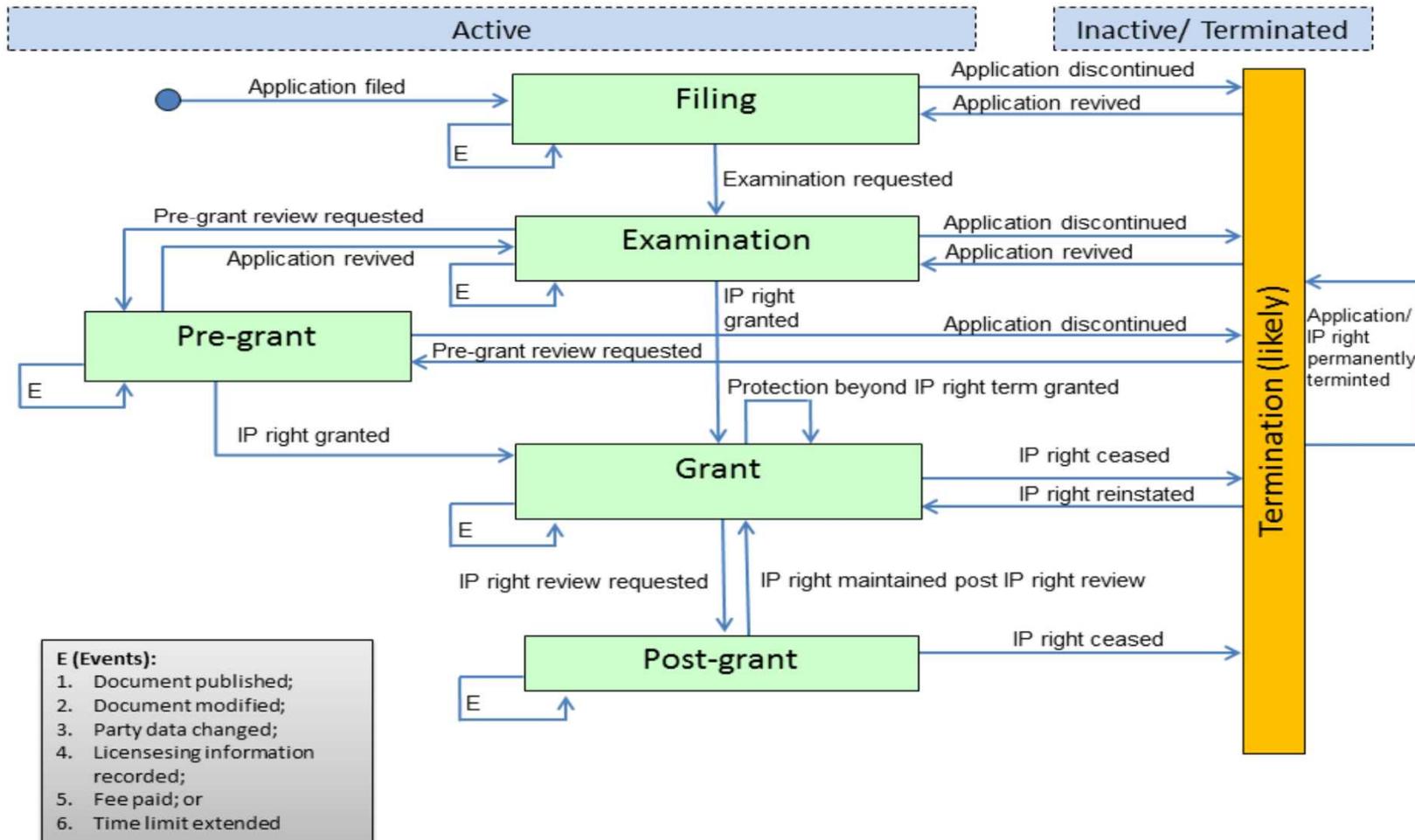


How?--Reference of WIPO' s Product: Mapping Table



How?--Reference of WIPO's Product:

Overall Patent Prosecution Model



Activity Two

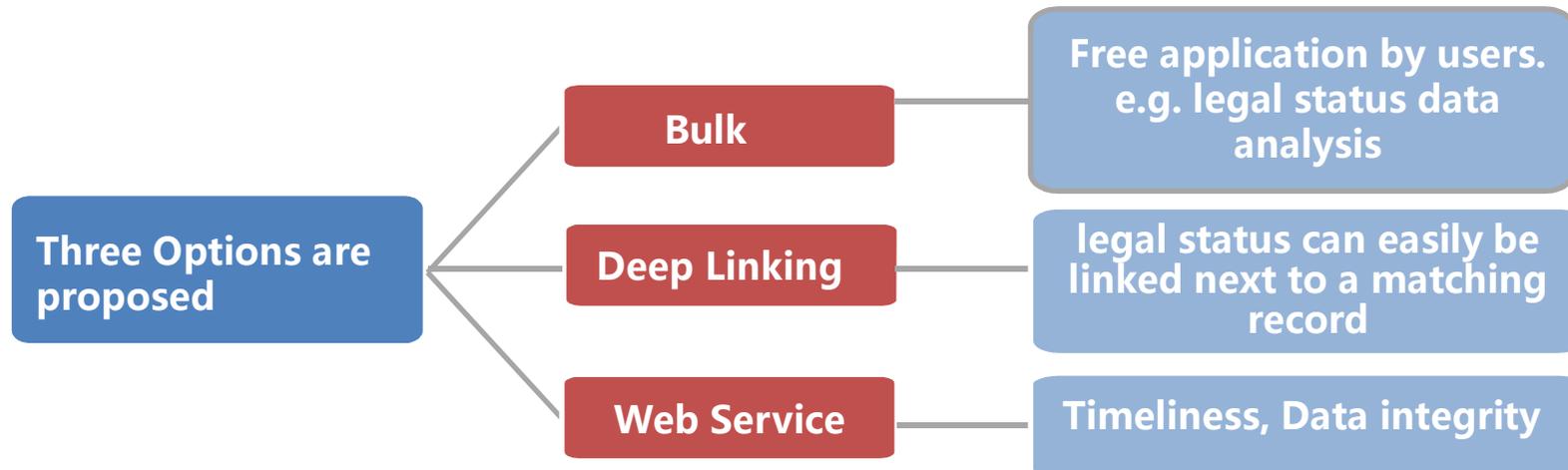
Establish mapping table for key events

| Stage | Key Events | Detailed events | SIPO's Detailed events | State |
|------------------------|-------------------|--|------------------------|----------|
| Filing | Application filed | a1. Provisional application filed | | Active |
| | | a2. Application filed | | Active |
| | | a3. Divisional application filed | | Active |
| | | a4. Divisional application inadmissible | | Active |
| | | a5. Conversion application filed | | Active |
| | | a6. Patent of addition filed | | Active |
| | | a7. PCT filing entered into the national or regional phase | | Active |
| | | c5. Application divided | | Active |
| | | c6. Application converted to another type of IP rights | | Active |
| | | c7. Application converted from a regional or PCT application | | Active |
| Application ceased | | b1. Application withdrawn | | Inactive |
| | | b2. Application deemed to be withdrawn, abandoned or lapsed | | Inactive |
| | | b3. PCT filing non-entered into the national or regional phase | | Inactive |
| Application reinstated | | e1. Reinstatement of rights with respect to an application requested | | Active |
| | | e2. Application not reinstated | | Active |
| | | e3. Application reinstated | | Active |
| | | e7. Rights of priority restored | | Active |

Please input information here

Activity Three

Design approach for data exchange



Activity Four

Data interface specifications, System transformation

Application Scenario

Global Dossier Interface

The screenshot displays the SIPO Global Patent Examination Information Inquiry web interface. The header includes the SIPO logo and navigation tabs for 'China and Global Patent Examination Information Inquiry', 'China Patent Examination Information Inquiry', and 'Global Patent Examination Information Inquiry'. The main content area features a search form with the following fields: Number Type, Country Code, Application Number, Kind Code, and Verification Code. A 'Search' button and a 'Reset' button are positioned below the input fields. A notice at the top of the search area states: 'Notice: The system supports precise query. Application number, publication number and priority number shall be input in the following manner.' Below the search form, there are filter and sort options. The filter is set to 'Country Code'. The sort options include 'Application Date Descending', 'Application Date Ascending', 'Publication Date Descending', and 'Publication Date Ascending'. At the bottom of the page, there is a cartoon character and a 'Welcome to inquire' message.

Search Result Page

SIPO China and Global Patent Examination Information Inquiry

China Patent Examination Information Inquiry

Global Patent Examination Information Inquiry

register

Notice: The system supports precise query. Application number, publication number and priority number shall be input in the following manner.

* Number Type : * Country Code : * Application Number :

* Verification Code :

filter: sort:

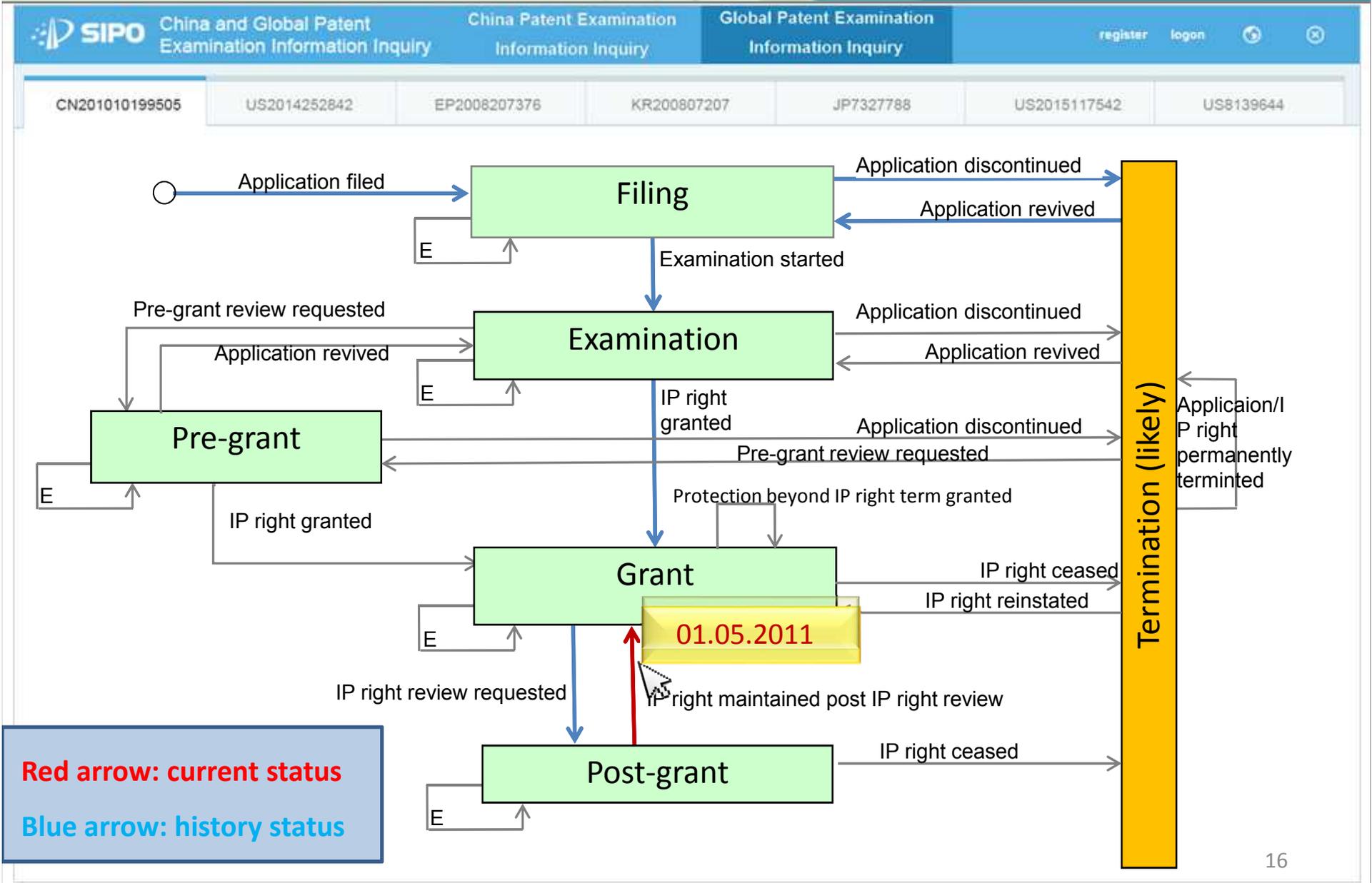
[Application Number : CN 201010199505 A](#)

Publication Number : CN 102276834 A Publication Date : 2011-12-14 Filing Date : 2010-12-14

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Simulated Vision Scenairo



Thanks!