

Presentation of the American Intellectual Property Law Association (AIPLA) on Proof of Concept for the Global Dossier Active Component

IP5 Industry Meeting
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Sheraton Miyako Hotel Tokyo, JAPAN

AIPLA

Serving the

Creative and **Legal** Communities

- **High Level Focus of the Proof of Concept** currently is on Sharing Documents by Applicants and Offices
 - Ultimate Goal is Cross-Filing
- **Initial Concept** of *Change of Address* Encountered Practical Issues
- **Industry Proposal for Proof of Concept using PPH:**
 - Applicant shares a simple PPH Request form, via Applicant's home Office that is the OEE, with selected Offices
 - Form certifies availability of prosecution documents and prior art, including translations, via GD and CCD
 - Claim Correspondence table and NPA shared as well
 - Selected Offices that are OLE checks certified availability and, if correct, institutes PPH in coordination with local representative

- **Industry Proposal may be Too Complex for an Initial Stage of a Proof of Concept:**
 - Simpler document transfer pilot, which can support a PPH Request and other transactions, is more practical
 - Document transfer pilot should demonstrate:
 - *Practicality of a "Lock Box" distribution system*
 - *Capability to Provide Authentication*
 - Digital certificate and password
 - *Capability to Log ALL Document Sharing Transactions*
 - *Capability to Indicate Status of Sharing Activity*
 - *Capability to Show Status of Lock Box in Real Time*
 - Show access by authorized local representative
 - *Capability to Manage and Handle Type and Quality of Documents at Transmitting and Receiving Offices*

- **Initial Stage of a Proof of Concept for Document Sharing using the Active Phase is being Developed:**
 - Current Focus is on PPH with protocols and workflows being developed for the cases
 - *USPTO is Office of Earlier Examination (OEE)*
 - *USPTO is the Office of Later Examination (OLE)*
 - Key IT challenge is the sharing of selected GD and CCD documents with other Offices
 - Current Focus also includes evaluation of
 - *Integration with existing Office Systems*
 - *Security issues*
 - *Legal Issues*
 - *Legal and Business Requirements of other Offices*

Thank You