The 2nd Global Dossier Task Force Meeting

Jiangsu, China
January 21-22, 2015
Current Status

- Release of OPD for Examiners in July 2013
- Linkage of JPO OPD with WIPO-CASE in March 2014
- Release of OPD for public on EPO website displaying SIPO file wrapper data in June 2014
**Framework for Promoting Public Access**

1. Each IP5 office shall prepare a system with at least a Providing Offices function according to the following schedule:

   - IP5 offices should try to provide the Providing Office function in the early stage to effectively promote Global Dossier

<table>
<thead>
<tr>
<th>Classification</th>
<th>EPO</th>
<th>JPO</th>
<th>KIPO</th>
<th>SIPO</th>
<th>USPTO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Providing</td>
<td>June 2014</td>
<td>The first half of 2015</td>
<td>December 2014</td>
<td>June 2014</td>
<td>Summer 2015</td>
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<tr>
<td>Accessing</td>
<td>June 2014</td>
<td>2016</td>
<td>The first quarter of 2015</td>
<td>2015</td>
<td>Fall 2015</td>
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</tbody>
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2. Each office may independently implement and operate its own system for public access to make file wrapper information publicly available.

3. Each office should try to provide services concerning service time, file format, etc., in a user-friendly manner.

4. Each office will ensure that it provides the public with information equal in scope to that which is made available to the public by other means by the providing office.
What the User would like to know is:

- whether development of OPD for public is on schedule,
- what kind of functions will be implemented in OPD for public in addition to the functions of OPD for Examiners, and
- the roadmap of development schedule including the followings:
  - improvement of OPD for public, and
  - functions of the “active components” of Global Dossier.

<table>
<thead>
<tr>
<th>Year</th>
<th>2014</th>
<th>2015</th>
<th>2016</th>
<th>2017</th>
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<tbody>
<tr>
<td></td>
<td>3Q</td>
<td>4Q</td>
<td>1Q</td>
<td>2Q</td>
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<tr>
<td>OPD for Public</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Active Component</td>
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Improvement of OPD for Public?
First Step?
Second Step?
**User Requests**

**Request 1:** No need to provide prosecution information (office action, etc.) of 1st PTO to 2nd PTO by Applicant or Attorney if 2nd PTO can access the prosecution information of 1st PTO through Global Dossier

- EPC Rule 141, USPTO 37 CFR 1.98, and
- Priority Documents

**Request 2:** Change of Bibliographic information through Global Dossier

- Name, address, etc. of Applicant or Assignee, and
- Change of Assignment

**Request 3:** Provision of Additional Information

- Due dates identifying the actual date of the response or action, and
- Legal Status showing “Alive”, “Dead”, etc.

**Request 4:** Provision of XML or tag format data of application-related information
Possible Communication Routes

Applicant-PTO Communications through Representative Attorney

Utilizing information through GD, workloads of Applicants, Attorneys, and PTOs decrease.

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New Application Filing to the 1st PTO

New Application Filing through Representative Attorney in 1st Country

Both Applicants and Representative Attorneys can access the information of the new applications in GD.
New Application Filing to the 2nd PTO

Applicant-PTO Communications through Representative Attorney

Applicant

Reporting Request

Attorney in 1st Country

Reporting Request

Attorney in 2nd Country

Filing

Filing Receipt

1st PTO

1st App. info. of the case

2nd PTO

1st App. info. of 1st PTO

New App. info. of the case

Prosecution info. of 2nd PTO

App. info. of Family Applications

1st App. info.

GD

Identifying the 1st App., no need to file priority documents

Utilizing information through GD, workloads of Applicants, Attorneys, and PTOs decrease.

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Responses to the 1st PTO

Utilizing information through GD, quality of filed responses increases, and workloads of Applicants, Attorneys, and PTOs decrease.
Responses to the 2nd PTO

Applicant communicates through the Representative Attorney in the 1st country with the Representative Attorney in the 2nd country.

Utilizing information through GD, quality of filed responses increases, and workloads of Applicants, Attorneys, and PTOs decrease.

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Cited Arts Submission

Applicant-PTO Communications through Representative Attorney

Utilizing information through GD, workloads of Applicants, Attorneys, and PTOs decrease.

Is it necessary to file a request to transfer cited arts in a PTO to another PTO?

A: Attorney Route

1st PTO

Prosecution info. of 1st PTO

2nd PTO

Prosecution info. of other PTO

B: GD Route

GD
Utilizing information through GD, workloads of Applicants, Attorneys, and PTOs decrease.

Is it necessary to file a request of changing bibliographic info. of the 2nd PTO through the attorney?

With Applicant ID No., no need to file any documents of changing bibliographic information.
Possible Communication Routes

Applicant-PTO Communications through Representative Attorney

Request 1: Sharing prosecution information
(1) Prior Art Information
(2) Priority Documents

Request 2: Unified bibliographic information management
(1) Applicant’s ID No.
(2) Applicant’s Address, Name, etc.

Request 3: Addition of item field on screen
(1) Actual Date of Response to PTO
(2) Legal Status: Alive, Dead, etc.

Request 4: (1) Providing XML data of application

Prosecution info. of 1st PTO
Prosecution info. of other PTO
Prosecution info. of the case
Prosecution info. of 2nd PTO
Prosecution info. of other PTO

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Thank you for your attention.