

**UNITED STATES
PATENT AND TRADEMARK OFFICE**

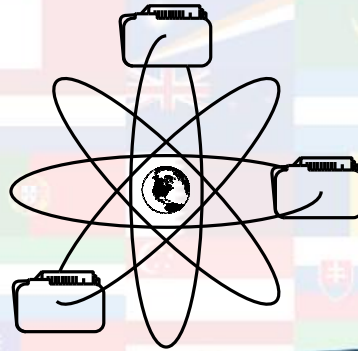


Office of International Patent Cooperation

Global  Dossier

Enhanced Scope Document

Active Component Proof-of-Concept
Inter-Office Document Sharing



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Vision

Demonstrate the feasibility of cross filing documents or changes over the entire IP5 using an example submission achievable in today's IP5 legal frameworks at each jurisdiction.

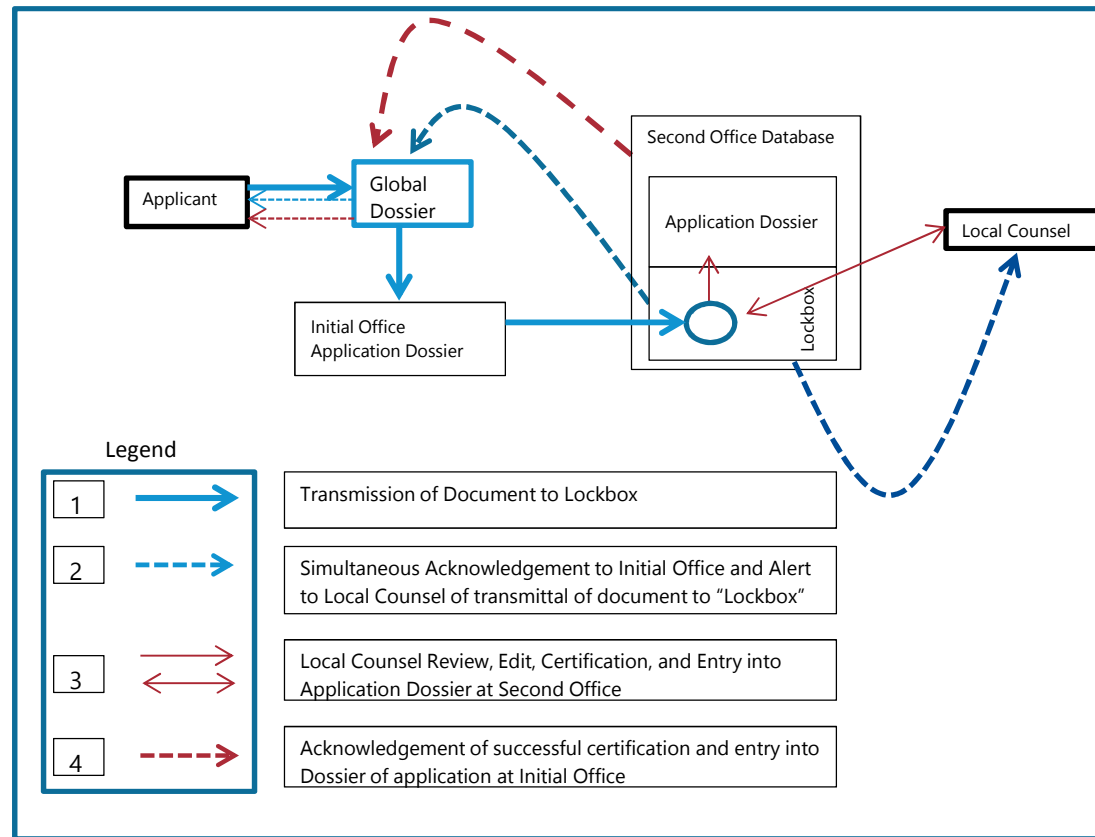
By authorizing offices to exchange prosecution related data between one another a significant reduction of the administrative burden on applicants filing at multiple IP5 offices can be achieved. The viability of this approach will be demonstrated by showing the practical possibility of such cross filing.

-- Endorsed by the Heads of Office in May 2015

Benefits of the Proof-of-Concept

- 🌐 Establishing Active Component communication and handshaking processes between participating Offices
- 🌐 Exception processing conditions can be identified, allowing for resolution procedures to be developed
- 🌐 Desirability and feasibility of common electronic forms can be assessed
- 🌐 Assessment of fit-for-purpose criteria for machine translations

Lockbox



Lockbox Workflow

First Year Action Plan (2016-2017)

- 🌐 Work on the development of a functional document sharing solution
- 🌐 Collaborate on a common document form that can be accepted at participating IP5 Office partners
- 🌐 Discuss standards for document transmission and sharing processes
- 🌐 Gather feedback from IP5 Industry Groups
- 🌐 Investigate the potential for incorporating other Global Dossier short term goals (e.g., XML, legal status, etc.) into Proof of Concept

Limitations

- 🌐 Applications used in the proof-of-concept must be published
- 🌐 To satisfy the aggressive schedule of the initial proof-of-concept, participating IP5 partners may choose some manual processing for various technical functions of the Active Component
- 🌐 Differing legal, IT, and security constraints among participating IP5 partners would need to be reconciled

