Collaborative Search & Examination
Third pilot – IP5 Heads and IP5 Industry Meeting
Background & Objectives

- **Aim**: high quality international search report with written opinion
- **Concept**: one PCT international search and written opinion performed by the competent ISA in collaboration with “peer” ISAs which provide contributions and feedback
- **Pilot phases 1 and 2**: concept operationally tested by EPO, KIPO and USPTO but its full implementation in the PCT system and follow-up in regional phases were not addressed
- **Pilot phase 3**: CS&E concept will be further developed and tested amongst the IP5 Offices in view of:
  - gauging users’ interest for a CS&E product
  - confirming expected benefits for both users and participating Offices
  - setting the basis for a decision as to the future of this concept
Main features (1)

- Collaboration organised according to a clear scheme ("the collaborative scheme") => final ISR and WO established by the competent ISA =
  - provisional ISR and WO established by the competent ISA
  - supplemented by or corrected according to contributions of "peer" ISAs

- Applicant driven: applications will be selected by applicants (limited number of applications per applicant and a broad pool of applicants)

- About the same number of applications per competent ISA (at least 100) and all collaborating ISAs will contribute to all collaborative work products => a total of at least 500 files => a sufficient volume for benchmarking

- Language: applications filed in English and possibly in other languages (to be determined by CS&E Pilot Group)
Main features (2)

- A common set of quality and operational standards (to be defined by CS&E Pilot Group) will be applied by all collaborating ISAs when processing international applications under the collaborative scheme.

- A collaboration tool: an IT infrastructure allowing exchanges under the CS&E scheme in a secure environment and automated data collection.

- Fees: during the pilot => standard fee for a PCT Chapter I search at the competent ISA and information about prospective amount of a CS&E fee for a potential regular CS&E product (aggregated amount of the search fees of the collaborating ISAs + an administrative fee).

- Participation by applicants: details still under discussion, competent ISAs should be directly contacted by applicants for further details.
Governance - CS&E Pilot Group

CS&E Pilot Group will be constituted of representatives of the collaborating ISAs and will be responsible, in particular, to:

- further specify the collaboration framework: collaborative scheme, pilot methodology (including accepted languages), quality and operational standards, parameters needed to support the monitoring of these standards, reporting methodology on quality of products, timeline of the pilot, duration of the period of cooperation and any other requirements or practical arrangements

- monitor the pilot, evaluate the results and report back to the IP5 WG3

- prepare a final assessment concerning the proof of concept

- coordinate the communication on the pilot
**Timeline**

- **Preparatory phase**
  - IP5 Heads endorse the IP5 Cooperation Framework on CS&E
  - CS&E Pilot Group specifies collaboration framework
  - collaboration tool is ready for use
  - exact date to be determined by CS&E Pilot Group

- **Operational phase**
  - within 1 year from the start of the pilot
  - processing and monitoring of applications under the CS&E scheme
  - evaluation of the benefits of the pilot

- **Within 3 to 5 years from the start of the pilot**
  - CS&E Pilot Group presents final assessment to IP5 WG3
Thank you for your attention

For any questions or queries please contact:
international_pct_affairs@epo.org

www.epo.org