Presentation of the American Intellectual Property Law Association (AIPLA) on Proof of Concept for the Global Dossier Active Component

IP5 Industry Meeting
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Sheraton Miyako Hotel Tokyo, JAPAN

AIPLA
Serving the Creative and Legal Communities
High Level Focus of the Proof of Concept currently is on Sharing Documents by Applicants and Offices
- Ultimate Goal is Cross-Filing

Initial Concept of Change of Address Encountered Practical Issues

Industry Proposal for Proof of Concept using PPH:
- Applicant shares a simple PPH Request form, via Applicant's home Office that is the OEE, with selected Offices
- Form certifies availability of prosecution documents and prior art, including translations, via GD and CCD
- Claim Correspondence table and NPA shared as well
- Selected Offices that are OLE checks certified availability and, if correct, institutes PPH in coordination with local representative
Industry Proposal may be Too Complex for an Initial Stage of a Proof of Concept:

- Simpler document transfer pilot, which can support a PPH Request and other transactions, is more practical
- Document transfer pilot should demonstrate:
  - Practicality of a "Lock Box" distribution system
  - Capability to Provide Authentication
    - Digital certificate and password
  - Capability to Log ALL Document Sharing Transactions
  - Capability to Indicate Status of Sharing Activity
  - Capability to Show Status of Lock Box in Real Time
    - Show access by authorized local representative
  - Capability to Manage and Handle Type and Quality of Documents at Transmitting and Receiving Offices
Initial Stage of a Proof of Concept for Document Sharing using the Active Phase is being Developed:

- Current Focus is on PPH with protocols and workflows being developed for the cases
  - USPTO is Office of Earlier Examination (OEE)
  - USPTO is the Office of Later Examination (OLE)

- Key IT challenge is the sharing of selected GD and CCD documents with other Offices

- Current Focus also includes evaluation of
  - Integration with existing Office Systems
  - Security issues
  - Legal Issues
  - Legal and Business Requirements of other Offices
Thank You