Global Dossier Active Component - First Step
“Proof of Concept”

AIPLA
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USPTO Approach to the Active Component I

- The Active Component of Global Dossier is viewed as “real-time transfer of documents” from the dossier of one IP5 Office to the dossier of another IP5 Office, using Global Dossier.

- It could be implemented by extending the functionality of the Passive (OPD) Component to allow transfer of selected documents from “DocList” to one or more related cases in “FamilyList.”
USPTO Approach to the Active Component II

• Focus on **ministerial and administrative processes**, to reduce costs and improve quality.

• Implement a “**technical proof-of-concept**” as a building block for further development.

• Identify **challenges** such as:
  • Security
  • Value of machine translations
  • Business impact
  • Exception processing
  • Actual cost-benefit
  • Navigation and operations
AIPLA Approach to the “Proof of Concept”

• AIPLA is considering the approach suggested by USPTO in relation to the Active Component.

• In particular, AIPLA has been discussing the possibility of **an initial first step** “proof of concept” addressing the filing of a **request for change of applicant’s address** in some or all of the IP5 Offices.

  • The change of applicant’s address should be accomplished with minimum administrative burden and maximum efficiency and user-friendliness.
User Requirements

• Accomplish all submissions from a **single web page**
• Bibliographical information should be **auto-populated**
  • **No re-entry** of application or applicant data
• **Forms** should be the same
• **Don’t require** entry of **PKI** security keys **for all offices**
  (one should be enough)
• Submissions through the Global Dossier should **appear immediately in the OPD** for the target office
• **Machine translation** should be available whenever feasible
Benefits of a Proof of Concept

- This simple case should drive the majority of issues for development of the active component
- Issues that may be enlightened:
  - Accomplish auto-population of data
  - Validate that active component can be implemented as an extension of the passive component
  - Identify security concerns
  - Establish hand-shaking between offices
  - Detect exception processing conditions
  - Determine whether common electronic forms would work
  - Assess issues relating to machine translation
ASSUMPTIONS for Address Change via Active Component

- Proof of Concept Pilot Applies ONLY to Already Filed Applications in a Family, i.e. applications filed in a **First Office** followed within the Paris Convention year by filings in **Second - Fifth Offices**

- Applicant submits a change of address in the **First Office**, through GD, and requests the **First Office** to transmit the change to one or more of the **Second – Fifth Offices**

- The change of address is processed and **appears in GD/OPD** for all offices requested

- For the convenience of the owner, if a **local counsel** has been retained they are **copied**, so the owner does not have to forward it.
(1) Change of address submitted to Office A, with request to transmit to any of Offices B-E

(2) Office A transmits request to Requested Offices

(3) Requested Offices Make Changes; changes are reflected in GD/OPD

(4) Any Local Representative is notified

(5) Requester is Notified of Change in Requested Offices

PILOT EXAMPLE - Active Component Used to Effect Remote Filing of Address Change in Offices A-E Using ONE PORTAL
Thank you